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January 31, 2006

Commander Russell Shilling, USN Program Officer, Medical Services Corps Office of Naval Research (ONR 341) 875 N. Randolph St. Arlington, VA 22203

Subject:

Quarterly Performance/Technical Report of the National

Marrow Donor Program®

Reference:

Grant Award #N00014-05-1-0859 between the Office of Naval

Research and the National Marrow Donor Program

Dear Commander Shilling:

Enclosed is subject document which provides the performance activity for each statement of work task item of the above reference for the period of October 1, 2006 to December 31, 2006.

Should you have any questions as to the scientific content of the tasks and the performance activity of this progress report, you may contact our Chief Operating Officer - Patricia Coppo directly at 612-627-5815.

With this submittal of the quarterly progress report, the National Marrow Donor Program has satisfied the reporting requirements of the above reference for quarterly documentation. Other such quarterly documentation has been previously submitted under separate cover.

Please direct any questions pertaining to the cooperative agreement to my attention (612-362-3403 or at <u>cabler@nmdp.org</u>).

Sincerely,

Carla Abler-Erickson

Carla Abler-Erickson, MA Sr. Contracts Representative

Enclosure: One (1) copy of SF298

One (1) copy of subject document

c: R. Baerga – ACO (ONR-Chicago), letter and enclosure Dr. Robert J. Hartzman, CAPT, MC, USN (Ret): letter and enclosures DTIC (Ste 0944): letter and enclosures

NRL (Code 5227): letter and enclosures

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Brian Bradley – Grants Officer (ONR-252), letter and enclosure Patricia A. Coppo, Chief Operating Officer, NMDP, letter only

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Same as Report

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b. ABSTRACT

a. REPORT

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19b. TELEPONE NUMBER (Include area code)

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Grant Award N00014-05-1-0859

PERFORMANCE / TECHNICAL REPORT FOR

OCTOBER 1, 2006 to DECEMBER 31, 2006

Office of Naval Research

And

The National Marrow Donor Program 3001 Broadway Street N.E. Minneapolis, MN 55413 1-800-526-7809

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ACRONYM LIST

AABB - American Association of Blood Banks

AML - Acute Myelogenous Leukemia

ARS - Acute Radiation Syndrome (also known as Acute Radiation Sickness)

ASBMT - American Society for Blood and Marrow Transplantation

ASHI - American Society for Histocompatability and Immunogenetics

B-LCLs - B-Lymphoblastoid Cell Lines

BMT-CTN - Blood and Marrow Transplant - Clinical Trials Network

C&A - Certification and Accreditation

CBMTG - Canadian Blood and Marrow Transplant Group

CBB - Cord Blood Bank

CBC - Congressional Black Caucus

CBS - Canadian Blood Service

CBU - Cord Blood Unit

CHTC - Certified Hematopoeitic Transplant Coordinator

CIBMTR - Center for International Blood & Marrow Transplant Research

CLIA - Clinical Laboratory Improvement Amendment

CME - Continuing Medical Education

CREG - Cross Reactive Groups

CSS - Center Support Services

CT - Confirmatory Typing

CTA - Clinical Trial Application

DIY - Do it yourself

DKMS - Deutsche Knochenmarkspenderdatei

DMSO - Dimethylsulphoxide

DNA - Deoxyribonucleic Acid

D/R - Donor/Recipient

EBMT - European Group for Blood and Marrow Transplantation

EM - Expectation Maximization

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EMDIS - European Marrow Donor Information System

FBI – Federal Bureau of Investigation

FDA - Food and Drug Administration

FMHQ - Family Medical History Questionnaire

Fst - Fixation Index

GETS - Government Emergency Telecommunications Service

GCSF - Granulocyte-Colony Stimulating Factor (also known as filgrastim)

GVHD - Graft vs Host Disease

HHS - Health and Human Services

HIPAA – Health Insurance Portability and Accountability Act

HLA – Human leukocyte antigen

HMD - Histoimmunogenetics Mark-up Language

HML - Histoimmunogenetics Mark-up Language

HR - High Resolution

HRSA - Health Resources and Services Administration

HSC - Hematopoietic stem cell

BWC - Immunobiology Working Committee

DM - Infectious disease markers

IHWG - International Histocompatibility Working Group

IND - Investigational New Drug

ICRHER - International Consortium for Research on Health Effects of Radiation

IS - Information services

IT - Information technology

IRB - Institutional Review Board

IHWG - International Histocompatibility Working Group

KIR - Killer Immunoglobulin-like Receptor

NCI - National Cancer Institute

MHC - Major Histocompatibility Complex

MICA - MHC Class I-Like Molecule, Chain A

MICB - MHC Class I-Like Molecule, Chain B

MRQ - Maternal Risk Questionnaire

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MUD - Matched Unrelated Donor

NCBM – National Conference of Black Mayors

NCI - National Cancer Institute

NHLBI - National Heart, Lung and Blood Institute

NIAID - National Institute for Allergy and Infectious Disease

NIH - National Institutes of Health

NIMS - National Incident Management System

NK – Natural Killers

NMDP - National Marrow Donor Program

NRP - National Response Plan

NST - Non-myeloablative Allogeneic Stem Cell Transplantation

OCR/ICR - Optical Character Recognition/Intelligent Character Recognition

OIT - Office of Information Technology

OMB - Office of Management and Budget

ONR - Office of Naval Research

PBMCs – Peripheral Blood Mononuclear Cells

PBSC - Peripheral Blood Stem Cell

PCR - Polymerase Chain Reaction

P-LCLs – B-lymphoblastoid cell lines

PSA - Public Service Announcement

QC - Quality control

RCC - Renal Cell Carcinoma

REAC/TS - Radiation Emergency Assistance Center/Training Site

RFP - Request for Proposal

RFQ - Request for Quotation

RITN - Radiation Injury Transplant Network

SBT - Sequence Based Testing

SCTOD - Stem Cell Therapeutics Outcome Database

SG - Sample Group

SSP - Sequence Based Priming

SSOP - Sequence Specific Olignucleotide Probes

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STAR® - Search, Tracking and Registry

TC - Transplant Center

TED - Transplant Essential Data

TNC - Total Nucleated Cell

TSA - Transportation Security Agency

URD - Unrelated Donor

WMDA - World Marrow Donor Association

WU - Work-up

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II.A. Contingenc chemical exposure	II.A. Contingency Preparedness – Hypothesis 1: Recovery of casualties with significant myelosuppression following radiation or chemical exposure is optimal when care plans are designed and implemented by transplant physicians
Aim A.1.1:	Period 5 Activity:
Secure Interest	• Completed preparations for a RITN meeting at the 2007 ASBMT/CIBMTR Tandem meeting in
Physicians	heysione, CO for physicians of their delegate from existing K111N centers. Agenda of the meeting will be:
	Web based orders review (adult and pediatric)
	o Data collection forms
	o Preparatory regimen
415	o Future organization of RITN
	o REAC/TS training
	o General session discussion
	• Dr. Nelson Chao (Duke University) presented a RITN overview and RITN Acute Radiation Treatment
	guidelines to the medical and research staff of MD Anderson.
Aim A.1.2:	Period 5 Activity:
GCSF in	No Activity
Radiation	
Exposure	
Aim A.1.3:	Period 5 Activity:
Patient	NMDP Information Technology (IT) department continues to upgrade and enhance the NMDP information and
Assessment	communication structures. In addition the following actions were taken.
Guidelines	• Enhanced CORD Link Web to support requested services from cord blood banks. Features were added to
	allow cord blood banks the ability to manage entry and error corrections on the FMHQ, MRQ, and IDM
	forms. The CBU Information Lock feature provides the CBB Administrator the ability to lock the Cord
	edit rights and entry rights for CORD I ink users preventing modification of data associated with the
	cord blood unit. The Data Modification Request is now submitted through the CORD Link application.
	This provides the CBBs the ability to view all data modification requests submitted to the NMDP. CBBs
	that currently take advantage of the NMDP's OCR Entry Process will now be able to view scanned forms

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Aim A.1.4:	 NMDP continues to enhance the SEARCH Link™ and TRANS Link® applications. The donors' availability dates were modified to align with the NMDP donation protocol to insure donor safety. To accurately reflect information on cord blood units from cooperative registries and assist transplant centers in their selection process, the "CD34 Frozen (x10^6)" field and a "TNC Frozen (x10^7)" fields were added. To improve operational efficiencies in Search and Transplant Services and Bioinformatics departments, the Search Coordinator Maintenance Tool (SCID) has a new feature for reassigning EMDIS requests. The Donor Information Report prints Reactive and/or Positive Infectious Disease Markers (IDMs) test results in bold and underlined font for easier identification. A new column "Request Date" was added to the Search Detail screens to improve operational efficiencies in the Search and Transplant Services department. Period 5 Activity:
National Data Collection Model	National Data Collection Model HA Contingency Preparedness – Hypothesis 2: Coordination of the care of casualties who will require hematonoietic sunnorties.
will be essential i	will be essential in a contingency situation.
Aim A.2.1: Contingency Response	 Feriod 5 Activity: RITN participation agreements were sent to 59 NMDP Network centers. As of 1/19/07, 26 centers have returned signed agreements.
Network	 Purchased ten GlobalStar mobile satellite telephones to be issued to RITN centers. Initiated a draft of a Memorandum of Agreement between RITN and HHS. This will assist in validating RITNs purpose and goals as it expands and begins to involve more state and regional emergency preparedness agencies.
	 Created a transplant center and donor center SOP template to improve existing SOPs and assist in creation of new SOPs at RITN centers. NMDP Donor Resources and Search Coordinating Unit staff assisted in the creation of these documents.
	 Conducted site visits to RITN centers:

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II.B. Rapid Identification of Matched Donors - Hypothesis 1: Increasing the resolution and quality of the HLA testing of volunteers on the registry will speed donor selection.

Aim B.1.1:

Registry HLA-A, B, DR typing:

Diversity Increase Registry

Period 5 Activity:

- Completed testing of 9,326 newly recruited volunteer donors
- Blind quality control testing error rate was 0.07% satisfying the project requirement of 1.5%
- Testing turnaround time was 97% for HLA-A and B, 98% for HLA-DR, both meeting the project requirement of 85% of typing results reported within 14 days from shipment of samples.

registration through www.marrow.org completed in the previous quarter resulted in the registration of 2700 with STAR Link Web was enhanced to support increased donor recruitment. "Do It Yourself" Donor (DIY) another 1800 in process.

New development on STAR Link Web was completed to support the DIY features. These automated features allow for an effective use of the data provided from DIY through to STAR Link Web and onto STAR. Health history information on DIY registered donors is now displayed in STAR Link Web making it available to the donor centers when the donors are assigned to them.

promotion codes continues, the DIY systems continue to be enhanced with the "Send to a friend" project to allow process management of these promotions. Development has started on the "DIY Dashboard" for recruitment forwarding and tracking of promotion codes to other emails. These enhancements improve internal business Functionality to support use of DIY "Promotion Codes" or "Coupons" for sponsored payment of recruitment costs was added. Donors with a promotion code may now register free through DIY. As the success of metrics and analysis.

facilitate follow up. To facilitate tracking by the CSS team, the ability to attach additional batch identifiers when which users keyed which records, time spent on each batch, etc. Additional features were added within OCR to performance log statistics and reports were added that measure OCR processes; number of keystrokes required, prioritize their work, features were added that provide deadline dates for OCR batch entry. Several operator Enhancements were made to OCR/ICR to allow for staging of donors who have no race codes selected to uploading OCR import files (e.g. drive # and recruitment group code) were added. To help the CSS team

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	address more efficient security processes.
Aim B.1.2: Evaluate HLA- DRB1 High Res typing	Period 5 Activity: No activity, this task is closed.
Aim B.1.3: Evaluate HLA- C Typing of Donors	Period 5 Activity: Data analysis progressed to determine if there is a benefit of adding HLA-C locus typing to registered donors. Scientific Services and Bioinformatics staff are collaborating with Ph.D. statisticians from the CIBMTR on the analysis. The analysis will be completed next quarter.
Aim B.1.4: Evaluate Buccal Swabs	 Period 5 Activity: Evaluation and optimization of alternative cell types for blind Quality Control Swab Samples. ABDR Contract laboratories using magnetic bead DNA extraction procedures are unable to capture sufficient purified genomic DNA from the ABDR QC swabs for accurate HLA testing. Four swab types have been created and are currently being tested by five Contract laboratories and the DoD: Purified DNA with supplemental protein B cell lines provided by the NMDP Research Repository Peripheral blood mononuclear cells Buccal cells collected from volunteers Buccal cells collected from volunteers Availability of cell type and/or donor Availability and accuracy of HLA typing results Availability of divergent genotypes within each sample type Availability of divergent genotypes within each sample type
	The initial testing of each swab type will be completed by February 15, 2007. Further evaluation, if needed, will be initiated at that time, and optimization of the selected method for obtaining swab OC samples will commence.

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II.B. Rapid Identification of Matched Donors - Hypothesis 3: Registry data on HLA allele and haplotype frequencies and on the nuances of HLA typing can be used to design computer algorithms to predict the best matched donor.

Aim B.3.1:

Phase I of EM

Haplotype Logic

Period 5 Activity:

Summary of Haplogic satisfaction survey results:

135 responses received 92% from U.S. transplant centers with representative sample of transplant center size and experience levels.

- 115 were transplant center coordinators
- 9 transplant physicians
- 8 medical directors
- 5 laboratory personnel.

Respondents were asked to indicate agreement on a scale of 1 (Strongly Disagree) to 5 (Strongly Agree) with several attributes within the following sections:

- Improvements to the search process: ≥ 3.9
- Value of data elements provided on the search report: ≥ 4.3
- Comparison of the revised search reports to other search reports: ≥4.1
- Effectiveness of education programs to prepare for use of HapLogic: ≥3.9

Overall Satisfaction

,		,
Very Dissatisfied	0	%0
2	3	2%
3	19	15%
-+	48	37%
Very Satisfied	59	46%
Total	129	100%

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	Each section of the survey asked for comments. The majority of the comments were very positive with most reflecting improvements HapLogic brings to the search process. For example:
	 HapLogic is a great tool HapLogic is a major improvement I love the printed allele codes
	 HapLogic has made a big difference in my practice Physicians like it too Along with the HLA consultations it provides good information
	There were several comments that provide input to improvement to HapLogic, the majority of which stated the need for including HLA-C and -DQ in the probability calculations.
	The results of this survey show that HapLogic has been well received and has provided significant value to transplant centers as they search for donors and cord blood units for their patients.
Aim B.3.2: Enhancement of EM Algorithm	Period 5 Activity: During the past quarter a manuscript describing the data and analysis methods for the haplotype frequency data used in HapLogic TM was submitted for publication. Reviewer comments highlighted the need for further refinements to the inclusion criteria into the study for patient-directed typings. The refinements are underway and a re-submission is expected during the next quarter.
Aim B.3.3: Optimal Registry Size Analysis	Period 5 Activity: The final report for the 2006 Registry Size analysis was submitted to HRSA. This included modification and updates based on feedback from several different internal and external reviewers
Aim B.3.4: Target Underrepresented Phenotypes	Period 5 Activity: During the past quarter, the final report summarizing the geographical analysis was submitted to HRSA. This final report included updates and refinements based on internal and external reviewer comments.

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Aim B.3.5:	Period 5 Activity:
Bioinformatics	No updates during the past quarter.
Web Site	
Aim B.3.6:	Period 5 Activity:
Maximize	During this quarter, all NMDP HLA Search Strategy Advisors completed 315 search reviews for 77 transplant
software using	centers, 174 were provided by external advisors and 141 were completed by internal advisors. The average
consultant data	turnaround time for external reviews was 4.6 business days; average turnaround time for the internal reviews was
	2.5 business days. Average turnaround time for all 315 reviews was 3.4 business days.
	The adult donor and cord blood selections and prioritizations from the HLA Search Strategy Advisors were
27	logged into a tracking system that is being utilized to compare with the selections and sort order generated by the
	HapLogic software for each of the same patient searches. The method for that comparison was developed and a
	statistical sample volume was defined (118 searches). Evaluation of these HapLogic searches occurred in
	October and November 2006. The comparison will be completed by February 2007.

II.B. Rapid Identification of Matched Donors - Hypothesis 4: Reducing the time and effort required to identify closely matched donors for patients in urgent need of HSC transplants will improve access to transplantation and patient survival in the context of a contingency response and routine patient care.

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Aim B.4.1:	Period 5 Activity:
Expand	For STAR II, work has focused on maintenance and upgrades to the existing architecture. The Electronic
Network	Workup project is underway, scheduled for release in fall 2007. Also, new transactions will be required to
Communica-	support a notes feature along with the Electronic Workup project. STAR II has additionally made maintenance
tions	changes to support HML, IDML, CORD Link and the Web Scripts.
	As always, STAR II will serve as an insulating layer between software systems and will provide backwards
	compatibility as changes occur. Also, the continued effort to support two way XML transactions will provide
	increased flexibility for the Electronic Workup project, as well as future projects.

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and quantity the influence of specific HLA mismatches. In contingency stuations it will not be possible to delay transplant until a perfectly matched donor can be found. Aim C.1.1: Period 5 Activity: Donor Recipient Pair Project Sample testing for Sample Group 15 (SG15) was completed with a period of performance ending December 18, 2006. • Work continues to resolve any remaining discrepant typings and shipping of no make replacement samples. The Request for Quotation (RFQ) to solicit bids for Sample Group 16 (SG16) was completed and contracts awarded to five laboratories with a period of performance from January 2, 2007 through April 30, 2007. • SG16 consists of 500 Donor/Recipient transplant pairs selected by CIBMTR Statistical Center. • All samples from this project are typed at intermediate HLA-A, B and DRB1 and at high resolution HLA-A, B. B. C DRB1/3/4/5 DQA1 and DQB1 when high resolution typing results are not available from the transplant center.

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II.C. Immunuog play a role.	II.C. Immunuogenetic Studies – Hypothesis 2; Even when patient and donor are HLA matched, GVHD occurs so other loci may play a role.
Aim C.2.1: Analysis of	Period 5 Activity: Contract extensions were issued to the three participating Jahoratories for Phase 3 of the High Resolution Killer
non-HLA loci	Immunoglobulin-like Receptor (KIR) Typing Project. The period of performance is January 1, 2007-September 30, 2007 and contains 165 samples to be typed in duplicate at two laboratories at 14 loci. Phase 3 of the project
	consists of 165 Caucasian donor samples from T-cell replete transplants for Acute Myelogenous Leukemia and Chronic Myelogenous Leukemia. Samples were picked and are ready to be shipped. Two of the three contracts were signed and returned to the NMDP with samples scheduled to be shipped the week of 1/16/07. Laboratories
	continue to resolve discrepancies and ambiguities identified in Phase 1 and 2 of the project. An abstract describing the project was presented to the American Society for Histocompatibility and Imminogenetics 32nd annual meeting
	The Scientific Services and Information Systems departments continue to collaborate on the design and
	development of a new non-HLA database and database tools to support the KIR Pilot Project. Data from this project will be linked to high resolution HLA and clinical outcome data for analysis.
	During the past quarter the database model for the new Immunobiology Project Results (IPR) database have been finalized. Data migration of results for the first two phases of the KIR typing project are being conditioned and
	prepared for totaling for analysis.
Aim C.2.2:	Period 5 Activity:
Related Pairs Research Repository	No activity to report. Activity will resume next quarter following HRSA approval of the SCTOD Repository implementation plan.

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II.D. Clinical Research in Transplantation - Hypothesis 1: Clinical research in transplantation improves transplant outcomes

and supports prep	and supports preparedness for a contingency response.
Aim D.1.1:	Period 5 Activity:
Observational	 Conference calls and meetings occurred in support of harmonizing the patient follow up forms.
Clinical Trials	 Work continued on development of manuals, procedure and templates for internal clinical trials structure.
and NIH	• The Clinical Trial Advisory Board of the CIBMTR met to review three proposed clinical trials. One was
Transplant	denied, and two approved with stipulations.
Center	 Staff coordinated protocol development of an adult double cord trial. Protocol submitted to the NMDP Institutional Review Board in December.
	• One site completed initiation in November for a total of five sites open for enrollment on the Renal Cell
	Carcinoma trial. The first patient was enrolled in December.
	 Held a Donor Center Coordinator Luncheon at NMDP Council meeting to provide information on the
	PBSC vs. Marrow trial.
	• Initiated a monthly snap shot email containing PBSC vs. Marrow accrual and trial information to Donor
	Centers.
	 Selected EMMES Corporation for trial management system.
	 Staff continued work on various observational studies. Two session of the training program were held
	during this period with all staff participating. Preparations for Tandem meeting began in December.
Aim D.1.2:	Period 5 Activity:
Research with	Work continues on Galen Switzer's NIH funded project on the impact race and culture have on a donor's
MAINT POHOLS	decision to proceed through the confirmatory testing and donation process.
	• A sub-award with the University of Pittsburgh is close to being signed;
	• The algorithm for donor selection was inhalized;
	 The responsibilities of the research assistant have been assigned to Sue Flesch and Amy Lund
	 Training for the research assistants will be provided by Galen Switzer and his staff. Training will occur in
	mid to late February.
	Anticipate that the study will be open for enrollment with donors from NMDP-operated donor centers in early

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	March. Donors from other donor centers will be added once permission has been received from the donor center to access donor contact information through StarLink 5.0.
Aim D.1.3: Expand Immuno-	Period 5 Activity: Finalized and implemented the process for distribution of funding for CIBMTR Immunobiology Working Committee (IBWC) studies.
biology Research	 The request process was approved and the materials are posted on the Immunobiology Working Committee (IBWC) section of the CIBMTR Web site. A funding request was approved and activity was initiated to provide high throughput DNA extraction services for approximately 2500 NMDP Repository samples. NMDP established a contract with a laboratory to provide the services.
	• A request was received for technical staff and reagent support for a study evaluating chemokine and chemokine receptor polymorphisms. Funding will be released early next quarter.
	IBWC leadership and NMDP staff developed and produced materials to promote the resources and activities of the committee.
	 A brochure was completed and distributed at the American Society of Hematology Annual Meeting. The brochure will be distributed at all meetings attended by IBWC leadership. NMDP staff compiled materials, designed the layout and posted content on the IBWC section of the CIBMTR Web site. The Web site is available using the following link: